Assessing the efficacy of oral immunotherapy for the desensitisation of peanut allergy in children (STOP II): a phase 2 randomised controlled trial

Katherine Anagnostou, Sabita Islam, Yvonne King, Loraine Foley, Laura Pasea, Simon Bond, Chris Palmer, John Deighton, Pamela Ewan, Andrew Clark

Summary

Background Small studies suggest peanut oral immunotherapy (OIT) might be effective in the treatment of peanut allergy. We aimed to establish the efficacy of OIT for the desensitisation of children with allergy to peanuts.

Methods We did a randomised controlled crossover trial to compare the efficacy of active OIT (using characterised peanut flour; protein doses of 2–800 mg/day) with control (peanut avoidance, the present standard of care) at the NIHR/Wellcome Trust Cambridge Clinical Research Facility (Cambridge, UK). Randomisation (1:1) was by use of an audited online system; group allocation was not masked. Eligible participants were aged 7–16 years with an immediate hypersensitivity reaction after peanut ingestion, positive skin prick test to peanuts, and positive by double-blind placebo-controlled food challenge (DBPCFC). We excluded participants if they had a major chronic illness, if the care provider or a present household member had suspected or diagnosed allergy to peanuts, or if there was an unwillingness or inability to comply with study procedures. Our primary outcome was desensitisation, defined as a negative peanut challenge (1400 mg protein in DBPCFC) at 6 months (first phase). Control participants underwent OIT during the second phase, with subsequent DBPCFC. Immunological parameters and disease-specific quality-of-life scores were measured. Analysis was by intention to treat. Fisher’s exact test was used to compare the proportion of those with desensitisation to peanut after 6 months between the active and control group at the end of the first phase. This trial is registered with Current Controlled Trials, number ISRCTN62416244.

Findings The primary outcome, desensitisation, was recorded for 62% (24 of 39 participants; 95% CI 45–78) in the active group and none of the control group after the first phase (0 of 46; 95% CI 0–9; p<0.001). 84% (95% CI 70–93) of the active group tolerated daily ingestion of 800 mg protein (equivalent to roughly five peanuts). Median increase in peanut threshold after OIT was 1345 mg (range 45–1400; p<0.001) or 25·5 times (range 1·82–280; p<0.001). After the second phase, 54% (95% CI 35–72) tolerated 1400 mg challenge (equivalent to roughly ten peanuts) and 91% (79–98) tolerated daily ingestion of 800 mg protein. Quality-of-life scores improved (decreased) after OIT (median change –1·61; p<0.001). Side-effects were mild in most participants. Gastrointestinal symptoms were, collectively, most common (31 participants with nausea, 31 with vomiting, and one with diarrhoea), then oral pruritus after 6·3% of doses (76 participants) and wheeze after 0·41% of doses (21 participants). Intramuscular adrenaline was used after 0·01% of doses (one participant).

Interpretation OIT successfully induced desensitisation in most children within the study population with peanut allergy of any severity, with a clinically meaningful increase in peanut threshold. Quality of life improved after intervention and there was a good safety profile. Immunological changes corresponded with clinical desensitisation. Further studies in wider populations are recommended; peanut OIT should not be done in non-specialist settings, but it is effective and well tolerated in the studied age group.

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Introduction

Allergy to peanuts is an increasingly common and important medical disorder, affecting 0·5–1·4% of children in high-income countries.17 Peanut allergy is the most common cause of severe and fatal allergic reactions related to food, it is difficult to identify people at highest risk,1 and resolution is uncommon.2 Quality of life is reduced because of the likelihood of anaphylaxis, causing constant fear over food choices.3 Despite present management, families have poor knowledge of how to avoid and treat food allergy emergencies.4 Accidental reactions are common, with annual incidences of 14–55%.6–8 Therefore, there is a need for a disease-modifying therapy. Immunotherapy is an established treatment for inhalant allergies9,10 and insect-venom anaphylaxis.11 Early studies of subcutaneous immunotherapy for peanut allergy were associated with severe adverse reactions, possibly due to the route of administration.12 The oral route might be associated with greater safety,13 and has been studied in egg and milk allergy.14,15 There is a need for systematic study of oral immunotherapy (OIT) for the treatment peanut allergy. Therefore, after a phase 1 study that showed good tolerability,6,8 our aim was to establish the efficacy of OIT for the desensitisation of children with allergy to peanuts.
Method

Participants

Between January, 2010, and March, 2013, we did a single-centre phase 2 randomised controlled two-phase trial at the NIHR/Wellcome Trust Cambridge Clinical Research Facility (Cambridge, UK). During the first phase the active group underwent 26 weeks of peanut OIT, and the control group underwent 26 weeks of standard care (peanut avoidance). At the end of the first phase (26 weeks) all participants were assessed for peanut allergy by double-blind placebo-controlled food challenge (DBPCFC). During the second phase, participants in the control group still allergic to peanuts were offered peanut OIT, with a subsequent further DBPCFC.

Participants were recruited both locally (allergy clinic) and nationally (through national patient support group Anaphylaxis Campaign). Eligible participants were aged 7–16 years with an immediate hypersensitivity reaction after peanut ingestion, positive skin prick test to peanut (extract ALK-Abello, Hørsholm, Denmark) defined by weal of 3 mm or larger in the presence of a negative saline and positive histamine control, and positive DBPCFC. We excluded participants if they had a major chronic illness (except for eczema, rhinitis, or asthma) since this was an immunomodulatory therapy, if the care provider or present household member had suspected or diagnosed allergy to peanuts, or if there was an unwillingness or inability to comply with study procedures. We did not exclude participants who had a previous life-threatening reaction, tree-nut allergy, or a history of severe asthma.

The Cambridge Central Ethics Committee approved the study (09/H0308/154) and the guardian of each participant gave written informed consent. Children of an appropriate age were encouraged to provide their own assent. The University of Cambridge and Cambridge University Hospitals NHS Trust (RD authorisation A091686) jointly sponsored the study.

Figure 1: Trial profile
Randomisation and masking
Participants were randomly assigned (1:1) via an audited online system (Randomizer, Medical University of Graz, Austria) to receive either the active therapy or the control intervention. Minimisation was used to reduce imbalance of baseline covariates, with a random element using a weighting probability of 0.8. Factors were sex, age, challenge threshold, peanut specific serum IgE, severity from history, and presence of asthma or other food allergy. Group allocation was not masked.

Procedures
The active intervention (OIT) was given in daily doses of characterised peanut flour (light roast flour; Golden Peanut Company, Alpharetta, GA, USA). First, there was a gradual updosing phase with 2 week increments to protein doses of 800 mg/day, and subsequently a maintenance period where the highest tolerated dose (with a target of 800 mg/day) was taken daily to complete a total of 26 weeks OIT. We devised a novel updosing regime, different from other published protocols (patent pending): doses were 2 mg, 5 mg, 12.5 mg, 25 mg, 50 mg, 100 mg, 200 mg, 400 mg, and 800 mg of peanut protein. The rationale for choosing a daily maintenance dose of 800 mg was on the basis of the highest amount of peanut protein used in the pilot study that participants were able to ingest on an ongoing daily basis, which was also associated with a suggestion of efficacy. Peanut protein was presented as a finely defatted powder that was mixed into food before ingestion. Dose increments took place in the NIHR/Wellcome Trust Clinical Research Facility and participants were observed from history, and presence of asthma or other food allergy. Group allocation was not masked.

Outcomes
The primary outcome was the proportion of desensitised participants in each group at the end of the first phase. Desensitisation was defined as no reaction during peanut DBPCFC with a cumulative dose of 1400 mg peanut protein. The primary outcome was assessed for all participants together and also by recruitment group (local or national).

Secondary outcomes were the proportion of participants who tolerated daily ingestion of 800 mg protein (equivalent to five peanuts) up to 26 weeks; the proportion of the control group who were desensitised or tolerated daily ingestion of 800 mg protein during the second phase; the fold and absolute increase in threshold (maximum tolerated peanut protein in mg) after OIT—defined as no observed adverse effect level (NOAEL; highest dose of peanut protein tolerated in mg protein during challenge or immunotherapy); the change in quality-of-life score; the number and type of adverse events; the change in immunological outcomes (basophil area under curve of CD63% and mean fluorescent intensity [MFI], peanut specific IgE, total IgE, and skin prick test weal diameter).

All peanut challenges were done as DBPCFCs in accordance with best practice, using separate active and placebo phases and masked with the validated EuroPrevall dessert food carrier recipe (range of doses from 5, 50, 100, 300, and 1000 mg peanut protein). We chose a cumulative challenge dose equivalent to roughly ten peanuts to show desensitisation to an amount of peanut that we judged unlikely to be encountered accidentally after OIT. Random number lists established the order of DBPCFC placebo and active arms. All study personnel were masked to the challenge assignment except the scientist who prepared the challenge material; they had no interaction with the participant or study team.

Quality of life was measured by a disease-specific questionnaire, the Food Allergy Quality of Life—Parent Form (FAQQLQ-PF), available for 0–12 years. Hence this questionnaire was only offered to families with a child aged 7–12 years. The questionnaire was scored on a six-point scale, averaged over three areas with equal weighting: emotional impact, food anxiety, and social and dietary limitation. Scores were obtained from baseline and the end of each phase.

Flow cytometric analysis (fluorescence-activated cell sorting) of patient samples was done on whole-blood specimens to quantify the proportion and MFI of CD63 basophils. Skin prick tests were done with standardised peanut extract from ALK-Abello, (Hørsholm, Denmark) and a single point lancet. Peanut specific IgE was measured with the ImmunoCap system (Thermo Scientific, Waltham, MA, USA). Measurements were made at baseline and after each phase.

Table 1: Clinical endpoints for first and second phases

<table>
<thead>
<tr>
<th></th>
<th>Control (n=46)</th>
<th>Active (n=39)</th>
<th>p value</th>
</tr>
</thead>
<tbody>
<tr>
<td>First phase</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Number desensitised</td>
<td>0</td>
<td>24</td>
<td>&lt;0.001*</td>
</tr>
<tr>
<td>Number not desensitised</td>
<td>46</td>
<td>15</td>
<td></td>
</tr>
<tr>
<td>Proportion desensitised</td>
<td>0 (0 to 0.091)</td>
<td>0.62 (0.45 to 0.78)</td>
<td>–</td>
</tr>
<tr>
<td>Proportion able to tolerate daily ingestion</td>
<td>0</td>
<td>0.84 (0.70 to 0.93)</td>
<td>–</td>
</tr>
<tr>
<td>Median absolute change in NOAEL, mg</td>
<td>0 (-95 to 45)</td>
<td>13.45 (45 to 1400)</td>
<td>0.002, &lt;0.001†</td>
</tr>
<tr>
<td>Median fold change in NOAEL, mg</td>
<td>0.81 (0.05 to 1.82)</td>
<td>25.5 (1.82 to 280)</td>
<td>0.003, &lt;0.001†</td>
</tr>
<tr>
<td>Median NOAEL after first phase, mg</td>
<td>5 (5 to 400)</td>
<td>1400 (100 to 1400)</td>
<td>&lt;0.001†</td>
</tr>
<tr>
<td>Second phase</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Proportion desensitised</td>
<td>0.54 (0.35 to 0.72)</td>
<td>–</td>
<td>–</td>
</tr>
<tr>
<td>Proportion able to tolerate daily ingestion</td>
<td>0.91 (0.79 to 0.98)</td>
<td>–</td>
<td>–</td>
</tr>
<tr>
<td>Median change in FAQQLQ-PF score from baseline to post-treatment</td>
<td>–1.41</td>
<td>–1.61</td>
<td>&lt;0.001, †</td>
</tr>
</tbody>
</table>
| Data are proportion (95% CI) or median (range). NOAEL=no observed adverse effect level. FAQQLQ-PF=Food Allergy Quality of Life Questionnaire—Parent Form for 5–12 years. *From Fisher’s exact test. †From Wilcoxon signed rank tests. §Median difference in NOAEL between groups was 1395 mg (95% CI 335 to 1395), p<0.001 (from Mann Whitney U test). ¶From Mann Whitney U test. §§n=20. §§§n=19. **From Wilcoxon signed rank tests.
Statistical analyses

All analyses were planned prospectively and detailed in a statistical analysis plan. Fisher’s exact test was used to compare the proportion of participants with desensitisation to peanut after 6 months between the active and control group at the end of the first phase. Exact unconditional confidence limits for the absolute risk difference were calculated. Secondary analyses tested for treatment differences with Fisher’s exact test (proportion response to treatment in active group and control group at end of the second phase), Wilcoxon signed rank tests (absolute and fold change in threshold), and Mann-Whitney U test (difference between groups in quality-of-life scores, basophil area under curve of CD63% and MFI, peanut-specific IgE, and skin prick test weal diameter).

Tobit regression was used in preference to linear regression, because it is better suited to analysis of censored dependent variables. We expected a large number of NOAEL values to be right censored at 1400 mg, as successfully desensitised participants would not react to this top dose during challenge. Estimates were provided for treatment on 6 month NOAEL adjusted for baseline covariates. All statistical tests described use a two-sided 5% significance level. Intention-to-treat analysis was done on the full analysis population that included all participants who were randomised and participated in at least one post-baseline assessment (DBPCFC).

Sample size was based on Fisher’s exact test with 90% power and 5% significance (two-sided). A sample size of 49 in each group is sufficient to detect proportions of participants with desensitisation to peanut of 0·64 and 0·30 in the active and control groups respectively at the end of the first phase. Allowing for 5% dropout increased the sample size to 52 participants in each group and 104 overall. Based on the above we would expect 35 waiting list group participants to proceed to the active intervention in the second phase.

Role of the funding source

The sponsor of the study had no role in study design, data collection, data analysis, data interpretation, or writing of the report. The corresponding author had full access to all the data in the study and had final responsibility for the decision to submit for publication.

Results

Figure 1 shows the trial profile. We enrolled 104 children, aged 7–16 years (median 12·4 years). The baseline characteristics of the participants are listed in the appendix. Five children did not react during their baseline peanut challenge. Therefore 99 children were randomly assigned to the study groups: 49 active and 50 control (figure 1). One child discontinued and five withdrew from the active group during the first phase. Four further participants were excluded from the primary analysis because they had not reached the target...
maintenance OIT dose at 6 months. In the control group, four children withdrew and one discontinued during the first phase.

There was a significant difference between the proportions of participants who had no reaction to 1400 mg peanut protein during DBPCFC at the end of the first phase in the active (24 of 39 participants; 62%; 95% CI 45–78%) compared with the control group (0 of 46; 0%, 95% CI 0–9·1; p<0.001; table 1). The absolute risk difference was estimated as 62% with a conservative 95% CI of 43–77. There was no significant difference in primary outcome between locally and nationally recruited participants (data not shown).

84% (95% CI 70–93) of the active group at the end of the first phase, and 91% (79–98) of the control group at the end of the second phase (after OIT) were able to tolerate daily ingestion of 800 mg protein for 26 weeks. There was a significant increase in peanut NOAEL in the active group after the first phase, with a median change in threshold of 25–5 times (p=0·001; table 1) compared with a small negative change in peanut threshold (NOAEL) in the control group during the first phase (0–81, range 0.05–1.82; figure 2).

Quality-of-life scores assessed by the FAQ1-Q-PF instrument were similar in active and control groups at baseline. Both groups showed a similar and clinically meaningful improvement (decrease) in quality of life scores after treatment (table 1). Immunological assessments showed a significant small reduction in median skin prick test weal diameter and increase in peanut-specific IgE after 24 weeks OIT in the active group (appendix). Basophil stimulation test data were expressed as the area under the curve (AUC) of plots of MFI and proportion of CD63-positive cells against concentration of peanut protein. No significant within-patient differences were identified after treatment for AUC of MFI or proportion of CD63, although there was a reduction in MFI and proportion of CD63 at lower peanut concentrations after OIT (figure 3).

Tobit regression suggested several baseline covariates could affect the final NOAEL (table 2). Baseline NOAEL, World Allergy Organization grade 2 reaction, and total IgE were also associated with higher post-OIT NOAEL. Baseline NOAEL, family history of peanut allergy, WAO grade 2, baseline NOAEL, WAO grade 3, and WAO grade 4 were similarly associated with lower final NOAEL.

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The number and nature of adverse events was similar in both groups after treatment. Most events were mild with oral itching being the most common (occurring after 6–3% of all doses; table 3). Cutaneous events were uncommon, present after only 0–16% of doses. Wheezing occurred after 0–41% of doses in 22% of participants and was treated with inhaled β2 agonists and oral antihistamines alone in all cases except for one participant who, additionally, self-administered intramuscular adrenaline on two occasions, with rapid resolution of his symptoms. There were no serious adverse reactions and no cardiovascular events.

<table>
<thead>
<tr>
<th>Symptoms</th>
<th>Participants who experience an adverse event</th>
<th>Adverse events per dose of OIT</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mouth itch</td>
<td>76 (81%)</td>
<td>1121 (63%)</td>
</tr>
<tr>
<td>Abdominal pain</td>
<td>54 (57%)</td>
<td>460 (2.59%)</td>
</tr>
<tr>
<td>Nausea</td>
<td>31 (33%)</td>
<td>393 (2.21%)</td>
</tr>
<tr>
<td>Vomiting</td>
<td>31 (33%)</td>
<td>134 (0.75%)</td>
</tr>
<tr>
<td>Diarrhoea</td>
<td>1 (1%)</td>
<td>5 (0.03%)</td>
</tr>
<tr>
<td>Urticaria</td>
<td>12 (13%)</td>
<td>29 (0.16%)</td>
</tr>
<tr>
<td>Angio-oedema</td>
<td>18 (19%)</td>
<td>71 (0.40%)</td>
</tr>
<tr>
<td>Erythema</td>
<td>20 (21%)</td>
<td>41 (0.23%)</td>
</tr>
<tr>
<td>Rhinitis</td>
<td>23 (24%)</td>
<td>65 (0.37%)</td>
</tr>
<tr>
<td>Wheezing</td>
<td>21 (22%)</td>
<td>73 (0.41%)</td>
</tr>
<tr>
<td>Laryngeal oedema</td>
<td>1 (1%)</td>
<td>1 (0.01%)</td>
</tr>
<tr>
<td>Cardiovascular collapse or fainting</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Outcome</th>
<th>Adverse events during treatment presented</th>
</tr>
</thead>
<tbody>
<tr>
<td>Admission to intensive-care unit, serious adverse reaction, or serious unexpected suspected adverse reaction</td>
<td>0</td>
</tr>
<tr>
<td>Use of inhaled β2 agonist</td>
<td>18 (19%)</td>
</tr>
<tr>
<td>Use of intramuscular adrenaline</td>
<td>1 (1%)</td>
</tr>
</tbody>
</table>

Data are n (%). Total doses were 17.793 OIT or oral immunotherapy.
Discussion

Daily doses of peanut OIT of up to 800 mg protein had a clinically meaningful effect, shown by a high incidence of desensitisation, large absolute and fold increases in threshold (NOAEL), and a significant improvement in quality-of-life score; 84% of participants in first phase and 91% in the second phase could tolerate daily ingestion roughly equivalent to five peanuts per day. To our knowledge, our findings provide the first well controlled and accurate estimate of the effect size, benefits, and risks of desensitisation with peanut OIT (panel).

Raising the reactive threshold for patients is a key outcome of this treatment. Without OIT, patient ability to avoid hidden peanuts is based on previous experience and inconsistent labelling and advice from food providers. Consequently, accidental reactions often happen (14–55% per year) and involve the use of health-care resources. Fear of death and severe reactions drives down quality of life.\(^5,6\)

Peanut OIT raises the reactive threshold at least 25-times so that 84–91% of participants can tolerate daily ingestion of 800 mg protein. Furthermore, 54–62% of children can tolerate a challenge with 1400 mg protein, roughly equivalent to ten peanuts. We showed previously that two-thirds of individuals who can tolerate 1400 mg could also tolerate 6000 mg protein (equivalent to 38 peanuts). Those who could not had only mild reactions.\(^8,9\) These calculations are based on an average peanut containing 160 mg protein, although variation occurs up to 260 mg. This treatment therefore allows participants to eat large quantities of peanuts, above the levels present in contaminated snacks and meals, allowing them to eat more freely and enjoy a reduction in social restrictions. Consequently, our study confirms an improvement in food-allergy specific quality of life, suggested by an earlier uncontrolled study of peanut OIT.\(^5\) Quality of life was measured on a validated disease-specific six-point scale\(^22\) and the improvements evident in the active and control groups after intervention (−1.61, −1.41) are clinically meaningful, although participants were not masked to treatment allocation and this might have introduced bias.

Our findings should be interpreted in the context of the study limitations. We did not mask treatment allocation. Instead we used a masked objective test to measure the primary outcome (ie, no reaction to peanut during DBPCFC). There was a small risk of bias as participants who knew they were receiving active treatment might have under-reported minor symptoms at the higher challenge doses, although these symptoms would have been uncommon and subjective. Additionally, during first phase, ten participants did not undergo a post-OIT challenge because they had withdrawn or not reached the target maintenance OIT dose at 6 months. It is probable that in the first phase the true response rate is lower than estimated; however, in the second phase, where there were few dropouts, we still noted a large effect. A very conservative sensitivity analysis was done in which all the unobserved participants in the active group were imputed as not desensitised and all the unobserved participants in the control group were imputed as desensitised. The analysis gave a risk difference of 0–41 (95% CI 0–21–0–58), which supports the conclusions of our main analysis.

Since, to our knowledge, this is the first study of its type, our findings are relevant to the population studied, but will need confirmation in other subgroups of patients. Because of the significant risks involved, OIT should be restricted to specialist centres.

We studied desensitisation over a 6 month period, rather than tolerance after cessation of treatment, because stopping OIT after a median of 9 months has been shown to lead to loss of desensitisation.\(^26\) It is probable that long-term peanut protein ingestion will be needed to provide continued protection from accidental exposure, perhaps for several years. This view is supported by immunological investigation; during peanut OIT, we showed evidence of basophil and mast-cell desensitisation and other groups have shown a gradual change in peanut-specific T-cell-
surface markers from Th2 to Th1 phenotype. Long-lived terminally differentiated IgE secreting plasma cells survive in the bone marrow in this environment for years, consequently, peanut specific IgE levels persist for several years after starting OIT, despite apparent clinical desensitisation.

Unsurprisingly there were many more allergic events during active treatment than during periods of peanut avoidance. The safety data in this trial show most adverse events were mild and due to gastrointestinal symptoms (eg, oral itching), as expected from the route of administration. Skin reactions were uncommon (urticaria after 0·16% of doses). Reactions involving wheezing occurred after 0·41% of doses, or roughly one fifth of participants. Although wheezing could be taken as a sign of a more severe reaction, in all but one participant it was mild and responded to standard doses of inhaled bronchodilator drugs. One participant self-administered adrenaline at home with good effect on two occasions for wheezing after his peanut OIT doses—he was withdrawn from the study. No participants had hypotension.

We previously devised a new regimen with a standard starting dose for all participants, because our pilot study showed that tailoring the starting dose to individual thresholds commonly resulted in adverse events, even at low doses. This regime is therefore better tolerated and an improvement. From the limited data available in published work it is apparent that the speed of the updosing schedule has a greater negative effect on safety and efficacy than the size of the maintenance dose, with semi-rush regimens showing less efficacy and more common reactions. We therefore used a gradual updosing regimen.

Prognostic factors were explored with Tobit regression. Treatment with OIT was, unsurprisingly, the most influential positive factor. Serum peanut-specific IgE, age, and family history were also associated with a negative effect on NOAEL (weight had a weak effect). These are all prognostic factors that one would intuitively think would make immunotherapy more difficult, and need further study.

Contributors
PE and AC conceived, designed, and managed the study. CP contributed to the statistical design of the study and was on the trial steering committee. KA, PE, and AC drafted the report. KA and YK did the statistical services to the study. All authors contributed to and reviewed the final report.

Conflicts of interest
PE and AC are inventors on a patent application that covers the protocol described in this study. The other authors declare that they have no conflicts of interest.

Acknowledgments
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